



PATENT

Case Docket No. WEBSSEN.030A

Date: July 15, 1004

2/55
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#9
LDS
7-29-04

Applicants : Hegli, et al.
Appl. No. : 10/010,282
Filed : December 5, 2001
For : FILTERING TECHNIQUES
FOR MANAGING ACCESS TO
INTERNET SITES OR OTHER
SOFTWARE APPLICATIONS
Examiner : Hosain T. Alam
Group Art Unit : 2155

I hereby certify that this correspondence and all
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Patents, P.O. Box 1450, Alexandria, VA 22313-
1450, on

July 15, 2004

(Date)

James F. Herkenhoff, Reg. No. 51,241

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Technology Center 2100

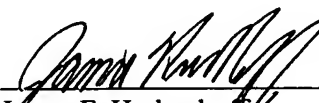
TRANSMITTAL LETTER

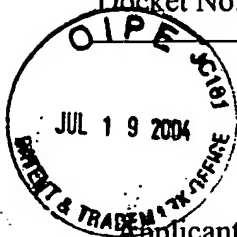
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 with seven (7) references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.


James F. Herkenhoff
Registration No. 51,241
Attorney of Record
Customer No. 20,995
(619) 235-8550



INFORMATION DISCLOSURE STATEMENT

#9

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing seven (7) references that are also enclosed.

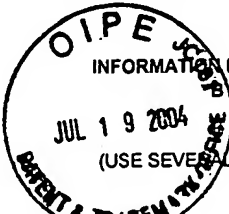
This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 7/15/04By: James F. Herkenhoff

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FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. WEBSEN.030A	APPLICATION NO. 10/010,282
 <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)</p>		APPLICANT Hegli, et al. #9	
		FILING DATE December 5, 2001	GROUP 2155

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)

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JUL 23 2004

Technology Center 2100

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	1	EP 0 748 095	12/11/96	EPO				

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
	2 Supplementary European Search Report for EPO App. No. 00 90 7078, mailed May 18, 2004
	3 Roberts-Witt, S., The 1999 Utility Guide: Corporate Filtering, PC Magazine Online, 04/05/99, pp 1-11
	4 Sequel Technology, Inc., Sequel and Surfwatch Partner to Provide Innovative Internet Resource Management Tools for Large Enterprises, Internet, Online!, 2/25/99, pp. 1-3
	5 SurfWatch Software, SurfWatch® Professional Edition: Product Overview, Internet, Online!, 5/26/99, p. 1
	6 Newman, H., A Look at Some Popular Filtering Systems, Internet, Online!, 07/25/99, pp. 1-11
	7 Secure Computing Corporation, SmartFilter™ Web Tool, 12/1/98, pp. 1-2

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EXAMINER	DATE CONSIDERED
<p>*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.</p>	